
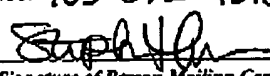


<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>				Docket No. <b>P00809-US</b>	
Applicant(s): <b>Wasserbauer</b>					
Serial No. <b>09/587,074</b>	Filing Date <b>June 2, 2000</b>	Examiner <b>Leung</b>		Group Art Unit <b>2828</b>	
Invention: <b>SINGLE MODE VERTICAL CAVITY EMITTING LASER</b>				<div style="font-size: 2em; font-weight: bold;">OFFICIAL</div> <div style="text-align: right; font-weight: bold;">RECEIVED</div> <div style="text-align: right;">CENTRAL FAX CENTER</div> <div style="text-align: right;">NOV 10 2003</div>	
<u>TO THE COMMISSIONER FOR PATENTS:</u>					
Transmitted herewith is an amendment in the above-identified application.					
The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	32	43	0	x \$18.00	\$0.00
INDEP. CLAIMS	10	7	3	x \$86.00	\$258.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$258.00</b>
<input type="checkbox"/> No additional fee is required for amendment. <input checked="" type="checkbox"/> Please charge Deposit Account No. <b>020900</b> in the amount of <b>\$258.00</b> <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. <b>020900</b> <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.					
<div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="text-align: center;">             Signature         </div> <div>           Dated: <b>November 7, 2003</b> </div> </div>					
<b>Stephen J. Holmes</b> <b>Barlow, Josephs &amp; Holmes</b> <b>101 Dyer Street, 5th Floor</b> <b>Providence, RI 02903</b> <b>401 273 4446 (tel)</b> <b>401 273 4447 (fax)</b> <b>sjh@barjos.com</b>					
<div style="border: 1px solid black; padding: 5px;">           I certify that this document and fee is being deposited            by <b>FAXED 11/10/03</b> with the U.S. Postal Service as            first class mail under 37 C.F.R. 1.6 and is addressed to the            Commissioner for Patents, P.O. Box 1450, Alexandria, VA            22313-1450. <b>703 872 9318 11/10/03</b>  <div style="text-align: center;">             Signature of Person Mailing Correspondence  <b>Stephen Holmes</b>            Typed or Printed Name of Person Mailing Correspondence         </div> </div>					
cc:					

PTO/SB/17 (10-03)

Approved for use through 07/31/2008. OMB 0851-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT**

(\$ 258

**Complete if Known**

Application Number	09/587,074
Filing Date	June 2, 2000
First Named Inventor	Wasserbauer
Examiner Name	Leung
Art Unit	2828
Attorney Docket No.	P00809-US

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
Deposit Account Name

020900

Barlow, Josephs &amp; Holmes

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or any underpayment of fee(s)  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 180	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$ 0</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** = 3	X	86 = 258
		0	0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 280	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		

(\$ 258

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 850	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 280	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (2)** (\$ 0**SUBMITTED BY**

Name (Print/Type) Stephen Holmes

Signature

Registration No. 34821  
(Attorney/Agent)

(Complete if applicable)

Telephone 401 273 4446

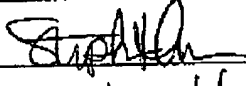
Date 11/10/2003

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Serial No. 09/587,074

<b>CERTIFICATE OF FACSIMILE TRANSMISSION</b>	
I hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and Trademark Office on the date shown below.	
	703-872-9318
(Fax No)	
(Signature)	Stephen Holmes
(Printed Name)	11/10/03
Date:	

**PATENT**  
Attorney Docket No.: P00809-US

**RECEIVED**  
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NOV 10 2003

**OFFICIAL****IN THE U.S. PATENT AND TRADEMARK OFFICE**

Applicant : Wasserbauer, J. et al  
Serial No. : 09/587,074  
Filed : June 2, 2000  
Title : SINGLE MODE VERTICAL CAVITY SURFACE EMITTING LASER  
Examiner : LEUNG, Q. Art Unit: 2828

Assistant Commissioner for Patents  
Washington DC 20231

**AMENDMENT**

Sir:

Responsive to the Official Action dated October 7, 2003, please amend the above-identified patent application as follows:

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